

The University of Alabama at Birmingham

Department of Health Services Administration PhD in Health Services Administration

2022-2023 STUDENT HANDBOOK



UAB // SHP

UAB SCHOOL OF HEALTH PROFESSIONSPHD IN HEALTH SERVICES ADMINISTRATION2022-2023 ACADEMIC HANDBOOK

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INTRODUCTION

DEAN'S WELCOME MESSAGE

Welcome to the University of Alabama at Birmingham School of Health Professions! We are pleased you chose to join one of our many excellent programs, which in combination have established us as a national leader in health professions education.

We are home to one of the largest health professions schools in the nation with more than 30 programs at the certificate, baccalaureate, master's, and doctoral levels, with more than 2,000 undergraduate and graduate students enrolled.

Moving into a post-COVID environment is a new start for everyone in health care, and you are in the best place to begin your personal journey toward joining this dynamic field. We are all committed to providing you a world-class education, and to support your pursuit of knowledge and your strong desire to serve others as a healthcare professional.

The pandemic has challenged all of us in many ways, and your entry into one of our professional programs demonstrates one of the most precious personal qualities one can have: resilience. That resilience has brought you here today and will continue to serve you well during your journey at UAB.

We recognize that healthcare needs - even outside of a pandemic - are constantly changing. That is why we continue to add innovative programs to our academic portfolio, and to enhance and revitalize ongoing programs. We also offer graduate programs you will only find in Alabama at UAB, such as Genetic Counseling, Nuclear Medicine and Molecular Imaging, Low Vision Rehabilitation, Health Physics, Healthcare Quality and Safety, doctoral-level Rehabilitation Science, and Biomedical and Health Sciences.

Our degrees and programs are fully accredited by their respective professional organizations. This means you will be eligible for licensure, national certification or registrations and enjoy being in high demand within the job market. Our first-time student exam pass rate on many credentialing exams is an astounding 98 percent or higher.

Graduating from the School of Health Professions means you will acquire an esteemed degree, have a host of job opportunities in healthcare – an industry that continues to grow rapidly – and be well prepared to make a difference in your community. We look forward to maintaining our relationship with you when you join our alumni community.

Our alumni give advice to current students that is worth repeating: "learn your craft, be a better professional for your patients, be open minded to future possibilities, and remember to have a healthy work/ life balance".

I look forward to seeing you grow in your respective fields and watching you become the leader we know you can be.

Andrew J. Butler, PhD, FAPTA

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OVERVIEW OF THE SCHOOL OF HEALTH PROFESSIONS

A leader in federally funded research, the UAB School of Health Professions (SHP), is one of the largest academic institutions of its type in the United States and currently boasts several nationally ranked programs. What began in the 1950's as a collection of training programs in various para-professional disciplines has grown into an internationally recognized center of academic excellence.

The SHP initially took shape in 1969 as UAB gained autonomy within the University of Alabama System. Originally christened the School of Community and Allied Health Resources (SCAHR), the school incorporated the School of Health Services Administration and the Division of Allied Health Sciences from the College of General Studies with parts of the Department of Public Health and Epidemiology from the medical school. An innovative facility designed to meet the growing needs of the health care industry, the SCAHR was divided into four academic divisions that functioned like regular academic departments: Health Services Administration, Public Health and Environment, Allied Health Sciences, and the Regional Technical Institute for Health Occupations.

Throughout the 1970's and 80's the school's offerings were amended to reflect the changing health care industry. As a result of the changes, SCAHR became the School of Public and Allied Health (SPAH). Next it became the School of Community and Allied Health (SCAH) and later the School of Health Related Professions (SHRP). During this time, the school added several new areas of study including a nationally ranked program in Nutrition Sciences. Through the leadership and guidance of early visionaries, the school experienced unparalleled success, which continues to date.

Today, the School of Health Professions is comprised of more than 30 programs – at the certificate, baccalaureate, master's, and doctoral levels – across five academic departments: Clinical and Diagnostic Sciences, Health Services Administration, Nutrition Sciences, Occupational Therapy, and Physical Therapy. The school is housed in three buildings, the Susan Mott Webb Nutrition Sciences Building, the Learning Resource Center Building, and the School of Health Professions Building (SHPB).

With more than 2,200 faculty, staff, and students, SHP is one of six schools comprising the world-renowned UAB Academic Health Center. Students have access to vast academic resources, state-of-the-art facilities, and progressive research.

SHP is proud of many accomplishments including:

- U.S. News & World Report ranks SHP programs in the nation's top 25
- Research funding is over \$14 million and growing
- The school is at the top of the list in research funding from the National Institutes of Health for schools of its type and has been either first or second in funding received since 1969

Under the current leadership of Dean Andrew Butler, the school has never been stronger, or better positioned as a national leader in health professions education.

SCHOOL OF HEALTH PROFESSIONS CONTACT INFORMATION

In addition to your program director and program faculty, the following individuals may be able to provide assistance with questions and issues related to your school and program activities.

Kristin Chapleau, Director of Student Services and Advising

205-996-4721; <u>kjohnsto@uab.edu</u>

Jennifer Christy, Assistant Dean for Faculty Affairs

205-934-5903; jbraswel@uab.edu

Mary Foster, Dean's Executive Assistant

205-934-5149; fostermg@uab.edu

Samantha Giordano-Mooga, PhD, Director of Undergraduate Honors Program

205-996-1399; sgiordan@uab.edu

Donna Slovensky, PhD, Senior Associate Dean for Academic and Faculty Affairs

205-934-1679; donnaslo@uab.edu

Kevin Storr, Director of Communications

205-934-4159; storr@uab.edu

Lee Test, Director of Academic and Faculty Operations

205-975-8034; ltest@uab.edu

Marci Willis, Director, Learning Resource Center

205-934-9472; <u>mbattles@uab.edu</u>

Section 1 – School and University Information

ACADEMIC CALENDAR

All dates related to registration, payments of tuition and fees drop/add dates, other administrative requirements, and official school holidays are recorded on the UAB Academic Calendar available at www.uab.edu/academiccalendar.

ACADEMIC INTEGRITY CODE

The University established a new Academic Integrity Code effective August 23, 2021. This Code, which applies to undergraduate, graduate, and professional students as well as all academic activities, defines academic misconduct and outlines procedures for breaches of the code. Policies are established for resolution of charges of academic misconduct, and these processes are managed by the Academic Integrity Coordinator (AIC). In the School of Health Profession, the AIC is the Senior Associate Dean for Academic and Faculty Affairs, the office is located in SHPB 660. The full text of the Academic Integrity Code is available at: https://www.uab.edu/one-stop/policies/academic-integrity-code

ADVISING

Undergraduate student advising is provided through the Student Services and Advising unit, which is housed in the SHPB 230 suite of offices, near the elevators on the 2nd floor. Walk-ins are accepted, but appointments are preferred. Contact information for individual advisers is listed below.

Kristin Chapleau, Director of Student Services and Advising - 205-996-4721; kjohnsto@uab.edu

Anrika Steen, Academic Advisor II –996-1689; anrikam@uab.edu

Eileen Hatfield, Academic Advisor II - 205-934-4185; mehatfie@uab.edu

Chris Smith, Academic Advisor II – 205-934-5974; cjsmith1@uab.edu

Adam Pinson, Academic Advisor II – 205-975-4194; apinson@uab.edu

Graduate student advising is provided through the SHP graduate programs.

AMBASSADORS

Ambassadors help to spread awareness of the School of Health Professions and it's multifaceted undergraduate and graduate programs, serving as liaisons between the department and university wide student organizations, serve as a student voice in school and university committees, and with the timely goal of creating a sense of community for all SHP students. The SHP Ambassadors help to support the programs within the school that will impact recruitment and retention initiatives.

AskIT

AskIT is the technology help desk for faculty, staff, and students. They provide free support via telephone, email, or in-person. You will be asked to supply your BlazerID when you request assistance.

Phone: (205) 996-5555 Email: <u>askit@uab.edu</u> Website: <u>https://uabprod.service-now.com/service_portal</u>

ATTENDANCE

Class attendance is expected in all SHP programs. Specific class, laboratory or clinical site attendance requirements may be more stringent than university guidelines. Refer to the program requirements in this handbook and in course syllabi for policies. The UAB policy for undergraduates follows.

The University of Alabama at Birmingham recognizes that the academic success of individual students is related to their class attendance and participation. Each course instructor is responsible for establishing policies concerning class attendance and make-up opportunities. Any such policies, including points for attendance and/or participation, penalties for absences, limits on excused absences, total allowable absences, etc., must be specified in the course syllabus provided to students at the beginning of the course term. Such policies are subject to departmental oversight and may not, by their specific prescriptions, negate or circumvent the accommodations provided below for excused absences.

The University regards certain absences as excused and, in those instances, requires that instructors provide an accommodation for the student who misses assignments, presentations, examinations, or other academic work of a substantive nature by virtue of these excused absences. Examples include the following:

Absences due to jury or military duty provided that official documentation have been provided to the instructor in a timely manner in advance.

Absences of students registered with Disabilities Services for disabilities eligible for "a reasonable number of disability-related absences" provided students give their instructors notice of a disability-related absence in advance or as soon as possible.

Absences due to participation in university-sponsored activities when the student is representing the university in an official capacity and as a critical participant, provided that the procedures below have been followed:

Before the end of the add/drop period, students must provide their instructor a schedule of anticipated excused absences in or with a letter explaining the nature of the expected absences from the director of the unit or department sponsoring the activity.

If a change in the schedule occurs, students are responsible for providing their instructors with advance written notification from the sponsoring unit or department.

Absences due to other extenuating circumstances that instructors deem excused. Such classification is at the discretion of the instructor and is predicated upon consistent treatment of all students. In these instances, instructors must devise a system for reasonable accommodation including, for example,

policies allowing for dropped exams/quizzes, make-up exams, rescheduling of student classroom presentations or early or later submission of written assignments.

AWARDS AND HONOR SOCIETIES

All students in the School of Health Professions are eligible for consideration for the following awards or society memberships.

- Alfred W. Sangster Award for Outstanding International Student This award is presented annually to an international student in recognition of his or her academic and non-academic achievements.
- Alpha Eta Society The UAB Chapter of this Society recognizes students registered in the final term
 of a baccalaureate or graduate health professions program. Inductees must have a cumulative
 grade point average of 3.0 (4.0 = A) and be in the upper 10% of their program. Nominations are
 made by program directors in spring and summer terms.
- Cecile Clardy Satterfield Award for Humanism in Health Care This award is made annually to recognize one outstanding student for humanitarianism, professionalism, and commitment to health care. Nominations are coordinated by program directors, but may also be made by faculty, students, patients, or preceptors.
- Charles Brooks Award for Creativity This award is made annually in recognition of creative
 accomplishments such as written publications or artistic contributions which complemented the
 student's academic activities. Nominations are made by program directors.
- Dean's Leadership and Service Award Presented to a maximum of three outstanding SHP students annually, this award recognizes leadership to the School, UAB, and the community.
 Nominations are made by program directors or faculty.
- Phi Kappa Phi This is the oldest, and most selective, all-discipline honor society in the nation.
 Membership is by invitation to the top 7.5% of junior students and the top 10% of seniors and graduate students. Nominations are made by program directors.

Please refer to the program section of this handbook for awards and honors available to students in individual programs.

BACKGROUND CHECK

Students in SHP programs are required by policy, to undergo a background check using the school's approved vendor, CastleBranch https://discover.castlebranch.com/, at the time of program admission, and again, prior to placement in a fieldwork I rotation. In addition, some sites are requiring the social media background check. This package code is different than the initial package code given. Instructions for requesting the background check and appropriate consent forms are provided to students by their programs. Please refer to the policy section of this handbook for the policy statement.

BLAZERID / BLAZERNET / EMAIL

BLAZERID: All students receive a unique identifier, the BlazerID, established at:

www.uab.edu/blazeridYour BlazerID is required for accessing BlazerNET and other campus resources. To activate one's BlazerID, select "Activate Accounts."

BlazerNET is the official portal of the UAB information network and is accessible from any Internet-accessible computer, on- or off-campus. Access BlazerNET from UAB home page www.uab.edu then choose UAB Quicklinks.

Email: uab.edu Monitor your email regularly. Your UAB email is the official communication medium for courses, news, information, and announcements. UAB student email is provided through Microsoft Office 365, a cloud-based system. Students have 50 GB of email space and 25 GB of free file 1 TB storage.

BLAZER EXPRESS

The UAB Blazer Express Transit System provides transportation throughout the UAB campus. With a valid UAB ID badge, students can enjoy fare-free bus transportation. All buses are ADA-accessible and can seat approximately 35 riders. For an updated schedule, route maps, and hours of operation please go to www.uab.edu/blazerexpress/.

BOOKSTORE

There is one bookstore located on the UAB campus, offering a wide variety of products and services to students, including online purchasing and shipping. The bookstore stock UAB memorabilia and college wear in addition to all required textbooks and course material.

UAB Barnes and Noble Bookstore

Location: 1400 University Blvd, Hill Student Center, 35233 Hours: M – F 7:30 a.m. – 6:00 pm.; Sat Closed; Sun Closed

Telephone: (205) 996-2665 Website: https://uab.bncollege.com/shop/uab/home

CAMPUS ONECARD

The UAB OneCard is the official university identification card. It is used for personal identification, for entry to campus events and the recreation center, for library checkout, and other UAB services. It also serves as a declining balance card for the UAB meal plans and for Blazer Bucks accounts. Additional information is available at www.uab.edu/onecard.

CAMPUS MAP

UAB's campus map can be found at the following: www.uab.edu/map/

CANVAS LEARNING MANAGEMENT SYSTEM

The Canvas Learning Management System is the platform used for managing instructional materials online. Canvas course sites are accessed through BlazerNET or at www.uab.edu/elearning/canvas. Students should monitor their course sites routinely for communication from faculty and manage course assignments.

CATALOG

http://catalog.uab.edu/student-handbook/

COUNSELING SERVICES

The Counseling and Wellness Center offers no cost, confidential counseling for UAB students related to physical, emotional, social, intellectual, or spiritual concerns. The Center is located in Student Health Services at 1714 9th Ave. South. For more information, call 205-934-5816 or https://www.uab.edu/students/counseling/

COVID-19 PRECAUTIONS

The COVID-19 pandemic necessitated many changes in our academic and administrative operating practices. While we anticipate a return to regular operations in fall term 2021, we remind students that certain precautions are still recommended. These recommendations include:

- Masking masking is required in all UAB clinical spaces. (Please check <u>UAB United</u> for current recommendations)
- If you are not vaccinated, we highly encourage you to obtain the COVID vaccine students may receive the vaccine at no cost through Student Health Services, at walk-up clinics, or through UAB Medicine. Scheduling information is available at https://www.uab.edu/uabunited/covid-19-vaccine
- If you are vaccinated, please upload an image of your vaccination card through the Student Health Patient Portal at https://studentwellness.uab.edu/login_directory.aspx.
- Students who experience COVID symptoms should not come on campus or clinical sites and should contact Student Health immediately for further instruction.
- Maintain hand hygiene with frequent handwashing and disinfectant solutions.
- Clean your work areas with disinfectant solution regularly.
- Eat only in designated spaces. Student may consume water in classrooms but should comply with any restrictions on food and other beverages that may be in place.

Official information about COVID-19 and University guidelines is available at: https://www.uab.edu/uabunited/

DIVERSITY, EQUITY, AND INCLUSION (DEI)

The mission of DEI is to "... champion equity and inclusion and, in particular, to advocate for inclusive excellence and equity so that UAB students, faculty, staff, community partners and friends can flourish and excel." Inspired by "... what we value, what we learn from research and what we teach and share with the world." DEI's goal is "... to inspire our people to take a courageous step to inspire equity and inclusive excellence throughout our state, nation and world, every day." Dr. Paulette Patterson Dilworth is the Vice President responsible for the activities of this office. Information: UAB Office of the Vice President of Diversity, Equity, and Inclusion

SHP Diversity, Equity, and Inclusion Site

DISABILITY SUPPORT SERVICES (DSS)

"DSS provides an accessible university experience through collaboration with UAB partners. These partnerships create a campus where individuals with disabilities have equal access to programs, activities, and opportunities by identifying and removing barriers, providing individualized services, and facilitating accommodations."

"DSS serves as the university-appointed office charged with providing institution-wide advisement, consultation, and training on disability-related topics which include legal and regulatory compliance, universal design, and disability scholarship."

To apply for accommodations, contact DSS. Note: You must have your Blazer ID and password.

Telephone: (205) 934-4205 or (205) 934-4248 (TDD) Fax: (205) 934-8170

Email: dss@uab.edu Website: www.uab.edu/students/disability/

DRUG SCREENING

By policy, SHP students in programs requiring fieldwork/internship placement are required to undergo a routine drug screen using the school's approved vendor, CastleBranch, www.castlebranch.com, at the time of program admission and again prior to placement in a fieldwork placement.. Instructions for requesting the drug screen and appropriate consent forms will be provided to students by their programs. Please refer to the policy section of this handbook for the school and university policy statements. If you have questions, please contact (205) 996-1278. For more information visit: Students-School of Health Professions | UAB

EMERGENCIES

Report suspicious or threatening activity to the UAB Police Department immediately. Law officers are available 24 hours, seven days a week. Also, more than 300 emergency blue light telephones connected directly to the police dispatch are located throughout campus.

UAB Police: Dial 911 from a campus phone or call: 934-3535; 934-HELP (4357); or 934-4434

Emergencies affecting campus are communicated via the following:

Weather & Emergency Hotline: (205) 934-2165 ●University home web page: www.uab.edu

- Webpage: www.uab.edu/emergency
- Announcements on BlazerNET
- Twitter@UABALERT: www.twitter.com/uabalert facebook.com/UABALERT
- Cell phone messages and SMS text register for B-ALERT notices via https://idm.uab.edu/ens/balert

FERPA TRAINING

The Family Educational Rights and Privacy Act (FERPA) of 1974 provides protection for all educational records related to students enrolled in an educational program. Information about your rights and protection of your records is available at the following sites:

https://sa.uab.edu/enrollmentservices/ferpa/; If you have questions or concerns about FERPA issues, you may email FERPA@uab.edu. All SHP students are required to complete FERPA Training.

FINANCIAL AID

The Office of Financial Aid is located at 1700 University Blvd., Lister Hill Library, Room G20. Hours of Operation are from 8:00 am to 5:00 pm Monday thru Friday. Phone: (205) 934-8223; Fax: (205) 975-6168. Additional information can be located on the website www.uab.edu/students/paying-for-UAB.

FOOD SERVICES

Dining facilities available on campus closest to the SHP buildings include the Commons on the Green – located on the Campus Green, south of 9th Avenue and the Campus Recreation Center. Additional information about meal plans and campus dining facilities is available at www.uab.edu/dining.

GRADUATE SCHOOL

The UAB Graduate School offers doctoral programs, post-master's specialist programs, and master's level programs. Graduate programs in SHP are coordinated through the Graduate School and students must adhere to the Graduate School policies and procedures. Graduate School information for current students is available at www.uab.edu/graduate/.

GRADUATION

All students must complete an application for degree six months prior to graduating and not later than the deadline in the academic calendar. https://www.uab.edu/commencement/faqs The University holds commencement every semester. Check the commencement website for the most current information: https://www.uab.edu/commencement/

MEDICAL CLEARANCE

SHP students in programs that are on campus (not fully online), are required to receive medical clearance at the time of program admission. UAB Student Health Services utilizes a secure web-based process for the storage of required documents accessed through BlazerNET. More information is available at the Student Health Services website: www.uab.edu/students/health/medicalclearance/immunizations.

IMMUNIZATION POLICY

To provide a safe and healthy environment for all students, faculty and staff at the University of Alabama at Birmingham (UAB), first-time entering students, international students and scholars, and students in clinical & non-clinical programs, provide proof of immunization against certain diseases: https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=322

INSURANCE WAIVERS

To ensure that all students have adequate health care coverage, including ongoing primary and specialty care, the University of Alabama at Birmingham (UAB) requires all students to have major medical health insurance. Students can provide proof of their own private coverage or be enrolled in the Student Health Insurance Plan. The plan is a 1-year commercial policy provided by United HealthCare and is renegotiated annually. This policy is a comprehensive health insurance policy at an affordable cost that is specifically designed to meet the needs of UAB Students. If you are required to have insurance but have sufficient coverage on another plan and wish to opt out of the Student Health Insurance Plan, you are required to submit a waiver to Student Health Services at the beginning of every semester. More information including submitting a waiver can be found at this website:

https://www.uab.edu/students/health/insurance-waivers/waivers

HIPAA TRAINING

The Health Insurance Portability and Accountability Act includes significant requirements for protecting individual privacy of health information. All students in the School of Health Professions must complete an online tutorial and be tested on HIPAA regulations at the time of program admission. A BlazerID is required to access the training site, located at www.uab.edu/learningsystem. Compliance with the training requirement is monitored monthly.

INSTITUTIONAL REVIEW BOARD FOR HUMAN USE (IRB)

Student researchers must comply with all requirements for protection of human subjects. Detailed information is available on the IRB website www.uab.edu/irb.

INTELLECTUAL PROPERTY

Intellectual property refers to an asset that originated conceptually, such as literary and artistic works, inventions, or other creative works. These assets should be protected and used only as the creator intends. Training materials defining inventor status, patent criteria, and other intellectual property issues is available at www.uab.edu/research/administration/offices/OSP/Pages/Training.aspx.

LACTATION CENTERS

Through the work of the UAB Commission on the Status of Women, the University has provided several lactation centers for students, faculty, and staff across the campus. Locations of the centers are

available at https://www.uabmedicine.org/-/lactation-consultants-help-moms-navigate-breastfeeding-journey. The School of Health Professions' lactation room is in the Susan Mott Webb Nutrition Sciences Building at 1675 University Boulevard, room 219A. Female students enrolled in the School of Health Professions have access to the room via their student ID/OneCard. If you cannot access the room, contact the building administrator, Julia Tolbert-Jackson at (205) 934-4133.

LIBRARIES AND LEARNING RESOURCE CENTER

UAB's libraries house excellent collections of books, periodicals, microforms, and other media. Have online remote access to catalogs and online collections. Computers are available for student use during regular hours of operation. https://library.uab.edu/locations

SHP Learning Resource Center (LRC)

The School of Health Professions Learning Resource Center (LRC) provides a unique set of enterprise solutions that promote an exciting, intriguing, and innovative learning environment. It provides a state-of-the-art media studio; audio/visual support; and information technology management of public, classroom and testing labs.

Located: 1714 9th Avenue S. Phone: (205) 934-5146 Email: shplrc@uab.edu

Lister Hill Library of the Health Sciences

This is the largest biomedical library in Alabama, and one of the largest in the south. Located across the crosswalk from the School, the LHL has extension libraries in University Hospital and The Kirklin Clinic. Dedicated librarians hold "office hours" in the Learning Resource Center weekly.

Location: 1700 University Boulevard Website:

https://library.uab.edu/locations/lister-hill

Phone: (205) 975-4821

Mervyn H. Sterne Library

A collection of more than one million items supporting teaching and research in the arts and humanities, business, education, engineering, natural sciences and mathematics, and social and behavioral sciences.

Location: 913 13th Street South

Website: https://library.uab.edu/locations/sterne

Phone: (205) 934-6364 (Reference) (205) 934-4338 (User Services)

NON-ACADEMIC MISCONDUCT POLICY

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=305

ONE STOP STUDENT SERVICES

If you have questions or need assistance with an academic or administrative process, the UAB One Stop is where to go! Advisers will help you solve your problem or do the legwork for you if another UAB resource is needed. One Stop located in the Hill Student Center, 1400 University Blvd. You may contact

the One Stop office by phone or email at (205) 934-4300; 855-UAB-1STP; (855) 822-1787; onestop@uab.edu. Additional information is available at www.uab.edu/onestop.

PARKING

Student vehicles must be registered with UAB Parking and Transportation Services, located at 608 8th Street South. The office is open Monday – Friday from 7:30 a.m. – 5:00 p.m. Parking is allocated on a first-come, first-served basis. Parking fees are established by location, payable by semester or year, and are billed to the student's account. Additional information is available at www.uab.edu/parking.

PATIENT CARE PARTNERSHIP

Students in health professions programs learn general information about the health care industry as well as knowledge and skills specific to their chosen profession. The American Hospital Association (AHA) (www.aha.org) is an excellent resource for industry information. One role fulfilled by the AHA is that of patient advocate. The Patient Care Partnership brochure (link below) outlines rights and responsibilities of patients during hospital stays.

www.aha.org/aha/issues/Communicating-With-Patients/pt-care-partnership.html.

PLAGIARISM AND TURNITIN

Plagiarism is academic misconduct that will result in a grade of zero and may result in dismissal from the School of Health Professions and UAB (see Academic and Integrity Policy). All papers submitted for grading in any SHP program may be reviewed using the online plagiarism monitoring software. Please note that all documents submitted to Turnitin.com are added to their database of papers that is used to screen future assignments for plagiarism.

RECREATION CENTER

The campus Recreation Center, located at 1501 University Blvd, Birmingham, AL 35294, is open to faculty, staff, students, and their families. A valid student identification card or membership card is required for access. Facilities include basketball courts, racquetball courts, weight rooms, swimming pools, exercise rooms, and indoor track. Check the website for information about hours and services at www.uab.edu/campusrecreation.

SCHOOL OF HEALTH PROFESSIONS SCHOLARSHIPS:

The SHP has several scholarship opportunities for students enrolled in SHP programs. The Scholarship Committee, comprised of faculty and administrators, review, and select awardees.

Scholarships available to students in any SHP program are the following:

Carol E. Medders Endowed Scholarship – Funding for students enrolled in a graduate program in the School of Health Professions. Awards are based on academic achievement and unmet financial need.

Ethel M. and Jessie D. Smith Endowed Nursing and Allied Health Scholarship – Funding for students enrolled in SHP programs with GPA 3.0 or above and unmet financial need. Student must be a resident of the state of Alabama at the time of enrollment.

Lettie Pate Whitehead Foundation Scholarship — Funding for female students from selected states (AL, FL, GA, LA, MS, NC, SC, TN) enrolled in SHP programs. Award amounts are variable and are based on unmet financial need.

National Alumni Society Dean's Scholarship – Funding from the UAB National Alumni Society for two scholarships per year, one to a graduate student and one to an undergraduate student.

Sandra Dunning Huechtker Endowed Memorial Award – Funding for students enrolled in SHP program with GPA 3.0 or above and unmet financial need.

SHP Dean's Scholarship – Funding to recruit or retain outstanding students. Awards are based on academic achievement, and unmet financial need.

You may visit the UAB School of Health Profession Scholarship page to learn more https://www.uab.edu/shp/home/about-us/shp-scholarships. Application announcements are made by the Office of Academic and Faculty Affairs. Many programs in SHP also have scholarships available to currently enrolled students in those programs. Please see the program section of this handbook for that information.

SOCIAL MEDIA

Social media can serve as useful communication tools. However, health professions students should use the forums judiciously. The school's official sites are the following:

Twitter: https://twitter.com/uab-shp?lang=en

• Facebook: <u>www.facebook.com/UABSHP</u>

YouTube: www.youtube.com/uabshp

Vimeo: http://vimeo.com/uabshp

• LinkedIn: UAB SHP LinkedIn

Website: www.uab.edu/shp

The School's Academic Affairs Committee published the following guidelines:

The Academic Affairs Committee proposes the following for social networking vehicles. Online communities like provide opportunities to share and explore interests that enrich the higher education learning experience. However, use them with discretion. UAB social media users are expected to act with honesty, integrity, and respect for others.

Professional Use - Only UAB employees authorized by their departments may use social networking Web sites to conduct University business. The authorized employee/position will serve as the point of contact for the web site. In keeping with University policy¹, the authorized employee may post on a social network profile: the University's name, school, department, and/or unit information, a University email address or University telephone number for contact purposes, or post official department information, resources, calendars, and events. The employee should use care that any personal opinions or opposition to the University either by direct statement or perception is not published.

General Use - The following guidelines are strongly suggested:

- 1. Use networking sites legally and appropriately. Consider your personal obligation as a citizen of the university. Use proper conduct in your posts regarding the university and your colleagues/fellow students.
- 2. Consider the use of a student, staff, or faculty member to monitor any departmental social pages. All parties need to understand the guidelines presented.
- 3. Remember, you cannot ensure who does and does not have access to your information. Any text or photo placed online is available to anyone in the world even if you limit access to your site.
- 4. Information that you post online may continue to stay on the World Wide Web even after you erase or delete that information from your profiles or blog. Do not post anything that could reflect negatively on you, your family, your friends, and the university.
- 5. Do not post any confidential or sensitive information online.
- 6. By agreeing to the terms of use, online communities have your permission to republish your content worldwide and share information with advertisers, third parties, law enforcement, and others.
- 7. You are legally responsible for your posts on the social networking sites. Be discreet, respectful, and as accurate/factual as you can be in any comments or content you posted online.
- 8. Potential employers, admissions officers, and scholarship committees often search social networking sites to screen candidates. Your profile will be a part of how others know you.

STUDENT ADVOCACY, RIGHTS AND CONDUCT (SARC)

Student Advocacy, Rights and Conduct (SARC) is responsible for upholding the integrity and purpose of the university through the fair and consistent application of policies and procedures to students' behavior to ensure a community that respects the dignity and right of all persons to reach their highest potential. SARC delivers programs and services in order to promote student safety and success, the pursuit of knowledge, respect for self and others, global citizenship, personal accountability and integrity, and ethical development. More about SARC can be found here: Student Advocacy, Rights & Conduct - Student Affairs - News | UAB The UAB student conduct code may be accessed online: Student Code (uab.edu).

STUDENT HEALTH SERVICES

The University provides prevention, counseling, and treatment services to students through Student Health Services located at 1714 9th Avenue South. The clinic is open from 8:00 a.m. – 5:00 p.m. Monday – Thursday; 9:00 a.m. – 5:00 p.m. Friday Detailed information about services and operating practices is located on the SHS website at www.uab.edu/studenthealth. Appointments may be scheduled by calling 205-934-3580.

TUITION AND FEES

Tuition and fees for the University are published annually under the "Current Students" tab of the UAB website. They may be paid through BlazerNET. SHP programs have specific fees attached to programs,

courses, and/ or laboratories. See the school fees at https://www.uab.edu/students/paying-for-college/detailed-tuition-and-fees

Payment deadlines for each semester are published on the official academic calendar and on the UAB website at www.uab.edu/whentopay/. Please note that failure to meet payment deadlines can result in being administratively withdrawn from courses.

WEATHER

Severe weather situations that may affect the safety of students, faculty, and staff are communicated through the same channels as other emergencies. Severe weather precautions are published at www.uab.edu/emergency/preparedness. Other information sources include:

Webpage: <u>www.uab.edu/emergency</u>	B-ALERT system: <u>www.uab.edu/balert</u>
• Hotline: (205)- 934-2165	WBHM Radio (90.3 FM)

WITHDRAWAL FROM COURSE / PROGRAM

Withdrawal from a course or from your program is an official process and should be discussed with your academic advisor and / or program director. Most programs in the School are full-time and the curricula specifically sequenced. Withdrawal from a course may risk your wait time to register for the class again. You might have to wait for a full year before resuming enrollment in the program. Withdrawals are made through the UAB registration system via the Student Resources tab in BlazerNET. Notice of program withdrawal should be given in writing to the program director. Please refer to the following link for additional information on withdrawal guidelines: https://www.uab.edu/students/one-stop/classes/add-drop-and-withdrawal-policy

SECTION 2 – SHP AND UAB POLICIES

SCHOOL OF HEALTH PROFESSIONS POLICIES

BACKGROUND CHECK AND DRUG SCREEN

POLICY

With the exceptions noted below, students admitted to clinical and non-clinical programs in the School of Health Professions (SHP) will complete a routine drug screening and criminal background check using the approved vendor (Castlebranch). These screenings should be completed prior to the end of the first term of enrollment. A second routine drug screening and criminal background check using the approved school vendor, or a vendor required by the assigned clinical facility, will be completed prior to placement in assigned fieldwork. Any required additional screenings, and/or those specified by waived programs, will be at the discretion of the program.

Students enrolled in SHP undergraduate programs requiring an internship or other site placement as a component of degree requirements will complete a learning module in the campus learning management system by the end of the first semester of enrollment. They will submit the results of a drug screening and criminal background check from an approved vendor, prior to the end of the semester, immediately preceding the semester for which the internship or site placement occurs.

- School-negotiated fees for these screenings will be the responsibility of the student.
- Students who have completed the screenings as a condition of enrollment in a previous SHP program, are waived from the requisite unless the current program of enrollment also includes an internship or fieldwork placement component for degree completion.
- Drug screening and background check results performed by an approved vendor, within the most recent twelve months, may fulfill the SHP requirement
- The rules and regulations governing individual fieldwork placement sites may include additional provisions and/or more stringent guidelines which supersede this policy. Fees for these screenings are the responsibility of the student.
- Should you fail the drug screening, or your background check contains issues that may prevent you from receiving or sitting for licensure, a representative from the Office of the Senior Associate Dean for Academic and Faculty Affairs will contact you. Depending upon the outcome, you may be dismissed from the program. See your program's guidelines regarding specific consequences.

Programs requiring a background check and drug screening by the end of the first semester of enrollment and again, prior to fieldwork placement (if applicable in the program requirements) are the following:

Administration Health Services, PhD
Biomedical Sciences to Biotechnology Fast Track

Biomedical Sciences to Clinical Laboratory Science Fast Track

Biomedical Sciences to Health Physics Fast Track

Biomedical and Health Sciences, MS

Biotechnology, MS

Clinical Laboratory Science, MS

Dietitian Education Program, Graduate Certificate

Genetic Counseling, MS

Health Administration (Residential), MSHA

Health Care Management to Occupational Therapy Doctorate Fast Track

Health Physics, MS

Nuclear Medicine & Molecular Imaging Technology, MS

Nutrition Sciences, MS

- Dietetic Internship Clinical Track
- Dietitian Education Program
- Prior Learning Clinical Track
- Research Track

Nutrition Sciences, PhD

Occupational Therapy Doctorate – (entry level)

Physical Therapy, DPT

Physician Assistant Studies, MSPAS

Rehabilitation Science, PhD

Programs requiring the background check and drug screening learning module during the first semester of enrollment, a criminal background check and drug screening by the end of the semester immediately preceding fieldwork placement or internship (if applicable) are the following:

Health Care Management, BS

Biomedical Sciences, BS

Biobehavioral Nutrition and Wellness, BS

*Programs waived from the Background Check and Drug Screening requirement are the following:

Applied Mixed Methods Research, Graduate Certificate

Biotechnology Regulatory Affairs, Graduate Certificate

Clinical Informatics, Graduate Certificate

Health Administration (International and Executive tracks), MSHA

Health Informatics, MSHI

Healthcare Finance Management, Graduate Certificate

Healthcare Leadership, DSc

HealthCare Leadership, Graduate Certificate

Healthcare Quality and Safety, MS

HealthCare Quality and Safety, Graduate Certificate
Health Service Research, MS
Healthcare Simulation, MS
Nutrition for Community Health, Graduate Certificate
Nutrition Sciences, MS

- Clinical Track-Prior Learning
- Lifestyle Management and Disease Prevention Track

Low Vision Rehabilitation, Graduate Certificate

Occupational Therapy Doctorate (Post Professional)

Physical Therapy Residencies (Neurologic, Orthopedic)

Attachments:

	Procedure for Criminal Background Check and Drug Screening
	Student Instructions
	Consent to Drug Testing and Release of Drug Test Results
	Consent to Criminal Background Check and Release of Results
П	

^{*} Students enrolled in exempt programs who participate in a course(s) incorporating community, corporate or other high impact, out-of-classroom activities such as service learning, capstone projects or other hands-on learning experiences, may be required by placement sites to submit background check and drug screening results. Students are responsible for the fees associated with such screening

Attachment 1: Procedure for Criminal Background Check and Drug Screening

- 1. Program directors (or designees) provide all accepted students with instructions for completing a background check and drug screening.
- 2. Students request the specified package on the approved SHP vendor website and pay the required fees.
- 3. Assigned designee(s) in the SHP Office for Academic and Faculty Affairs review and provide compliance reports for programs.
- 4. In the event a report reveals information which may prevent a student from being placed in a fieldwork rotation or potentially makes them ineligible for professional certification or licensure, the SHP Office of Academic and Faculty Affairs works in conjunction with the program director to notify the student. If such information exists, the student must acknowledge in writing their decision to continue in the program's didactic phase with the understanding that a degree cannot be awarded without completion of required fieldwork placement.
- 5. Prior to fieldwork placement, program directors (or designees) will provide students with instructions to complete a repeat background check and drug screening. In addition, some sites require a social media background check. The package code for this is different than the initial drug screen and background package code. If a vendor is specified by the placement site or preceptor, the preceptor (or designee) will provide students with instructions.
- 6. Students are responsible for all additional fieldwork placement requirements.
- 7. The program director discusses with individual student's implications for information which may inhibit an assigned fieldwork placement.
- 8. Students provide preceptors or internship supervisors with the required information from the results of their background check and drug screening reports if required.

Attachment 2:



STUDENT INSTRUCTIONS FOR UNIVERSITY OF ALABAMA AT BIRMINGHAM SCHOOL OF HEALTH PROFESSIONS

About CastleBranch.com

CastleBranch is a secure platform that allows you to order your background check & drug test online. Once you have placed your order, you may use your login to access additional features of CastleBranch, including document storage, portfolio builders and reference tools. CastleBranch also allows you to upload any additional documents required by your school.

Order Summary

Required Personal Information

o In addition to entering your full name and date of birth, you will be asked for your Social Security Number, current address, phone number, and e-mail address.

Drug Test (LabCorp or Quest Diagnostics)

o Within 24-48 hours after you place your order, the electronic chain of custody form e-chain will be placed directly into your CastleBranch account. This e-chain will explain where you need to go to complete your drug test.

Payment Information

o At the end of the online order process, you will be prompted to enter your Visa or MasterCard information. Money orders are also accepted, but will result in a \$10 fee and additional turn-around-time.

Place Your Order

Go to: www.castlebranch.com and enter package code:

AH01 - Background Check and Drug Test

You will be required to enter your program under "Student Information" classification

You will then be directed to set up your CastleBranch profile account.

View Your Results

Your results will be posted directly to your CastleBranch profile account. You will be notified if there is any missing information needed in order to process your order. Although 95% of background check results are completed within 3-5 business days, some results may take longer. Your order will show as "In Process" until it has been completed in its entirety. Your school's administrator can also securely view your results online with their unique username and password. If you have any additional questions, please contact the Service Desk at 888,723,4263 x 7196 or visit the website to submit your question at: https://www.castlebranch.com/contact-us.

Attachment 3

UAB School of Health Professions

Consent to Drug Testing and Release of Drug Test Results

For and in consideration of my participation in clinical education experiences, I understand that I will be required to submit to drug testing as a prerequisite to my assignment to a clinical site. I hereby consent to be tested for drugs and consent to the release of any such drug test results to the Office of Academic and Faculty Affairs, my Program Director, and the subsequent release of such drug test results to the clinical site to which I am assigned.

I understand that any clinical site to which I am assigned has the right to require additional drug testing as a condition of my placement. I hereby consent to any facility-required drug testing and consent to the release of such drug test results to my Program Director.

Student's Signature	Date
Signature of Parent/Legal Guardian (required only if student is under 19)	Date

Attachment 4

UAB School of Health Professions

Consent to Criminal Background Check and Release of Results

For and in consideration of my participation in clinical education experiences, I understand that I will be required to submit to a criminal background check as a prerequisite to my assignment to a clinical site. I hereby consent to have a criminal background check and consent to the release the results to the Office of Academic and Faculty Affairs, my Program Director, and the subsequent release of the results to the clinical site to which I am assigned.

I understand that any clinical site to which I am assigned has the right to require additional background check as a condition of my placement. I hereby consent to any facility-required background check and consent to the release of the results of the check to my Program Director.

Student's Signature	Date	
Signature of Parent/Legal Guardian (required only if student is under 19)	Date	

COVID-19 CAMPUS ENTRY

UAB is committed to making our campus among the safest in America as students return at the right time and under the right conditions.

- Students admitted to the School of Health Professions will follow university guidelines for COVID-19 entry found at: Students Overview - United | UAB
- Guidelines include wearing a mask while on campus; maintaining proper social distancing; washing hands often; cleaning and disinfecting frequently touched objects and surfaces; and completing required training and reporting tasks.
- All UAB students coming to campus for the fall semester 2021 will have access to a free COVIDvaccination through Student Health Services. See information at https://www.uab.edu/uabunited/covid-19-vaccine
- Vaccinated students should upload an image of their vaccination card through the Student Health Patient Portal at https://studentwellness.uab.edu/login_directory.aspx.
- Prior to placement in clinical settings, SHP students will follow the UAB School of Health Professions Plan/Checklist for Students entering Clinical Experiences.

EMERGENCY PLAN - SHPB

https://www.uab.edu/shp/home/images/PDF/SHPB_BUILDING_EMERGENCY_PLAN_2019.pdf

FINAL COURSE GRADE APPEAL PROCESS

www.uab.edu/shp/home/images/PDF/grievance procedures.pdf

PLAGIARISM

www.uab.edu/shp/home/images/PDF/Plagiarism Policy.pdf

Please note that all papers submitted for grading in any SHP program may be reviewed using the online plagiarism monitoring software, Turnitin.com. All documents submitted to Turnitin.com are added to their database of papers used to screen future assignments for plagiarism.

UAB POLICIES

CLASSROOM BEHAVIORS

ATTENDANCE / ABSENCE (UNDERGRADUATE)

http://catalog.uab.edu/undergraduate/progresstowardadegree/#enrollmenttext

HEALTH

BODY FLUID EXPOSURE

www.uab.edu/humanresources/home/employeehealth/reportingexposures

IMMUNIZATIONS

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=322

SUBSTANCE USE/ABUSE

ALCOHOLIC BEVERAGES, USE AND CONSUMPTION

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=373

Drug Free Campus (General Policy)

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=416

NONSMOKING

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=392

TECHNOLOGY GUIDELINES

COMPUTER AND NETWORK RESOURCES (ACCEPTABLE USE)

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=300

COMPUTER SOFTWARE COPYING AND USE

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=153

INCLUSIVENESS

EQUAL OPPORTUNITY AND DISCRIMINATORY HARASSMENT

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=98

RESEARCH AND SCHOLARLY ACTIVITIES

ACADEMIC INTEGRITY CODE

https://www.uab.edu/compliance/images/FINAL Academic Integrity Code 2021-June-22.pdf

ETHICAL STANDARDS IN RESEARCH AND OTHER SCHOLARLY ACTIVITIES

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=398

PATENT (INTELLECTUAL PROPERTY)

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=397

FIREARMS, AMMUNITION, AND OTHER DANGEROUS WEAPONS

https://secure2.compliancebridge.com/uab/portal/getdoc.php?file=330

Note: Additional university policies may be located by searching the UAB Policies and Procedures Library available online at www.uab.edu/policies/Pages/default.aspx.

SECTION 3 – DEPARTMENTAL POLICIES

DEPARTMENT OF HEALTH SERVICES ADMINISTRATION

PhD PROGRAM OVERVIEW

The PhD program in Administration – Health Services is jointly sponsored by the Department of Health Services Administration in the School of Health Professions and the Graduate School of Management in the Collat School of Business. The School of Business is accredited at both the undergraduate and graduate levels by the AACSB. There are not official accreditation bodies for Schools of Health Professions or for doctoral programs in health administration.

The program offers an interdisciplinary approach – advanced studies in the conceptual, philosophical, and applied aspects of administrative processes, within the context of health services – that makes it relatively unique among doctoral programs in the United States. The program's interdisciplinary foundation is based on the active involvement of faculty members who are nationally recognized scholars in their field from a variety of departments across campus including the sponsoring schools as well as the School of Public Health, School of Medicine, and School of Nursing. Faculty members are actively involved in teaching, research, and service, and in many cases have ongoing funded research. It is worth noting that funded research is not an expectation among faculty members in the School of Business (as is true in most universities). The program also draws on the resources of campus wide centers, such as the Lister Hill Center for Health Policy, Center for Outcomes and Effectiveness Research and Education, and Center for Aging, to enhance the training environment of the program.

The program was founded in 1978 to provide effective educational, research, and consultation support for a health care environment that was undergoing profound changes. The primary purpose of the program is to improve health administration practice and policy through the generation and conduct of research in the management of health service organizations. The mission is accomplished through the education of individuals for academic positions, and for high-level analytical and research positions in non-academic organizations.

The program is designed as a full-time, residential program, and is typically completed in 3 to 6 years (mean completion time is 4.9 years), including the dissertation phase. Students with outstanding qualifications may be admitted on a part-time basis, but such cases are not common. Students spend two years completing core and specialization course work (typically 79 hours, including 6 prerequisite hours). The third year and beyond are spent preparing for comprehensive examinations, developing and defending a dissertation proposal, and writing and defending the dissertation. Students must pass comprehensive examinations in three areas (health care, quantitative and research methods, and their area of specialization) before submitting a dissertation proposal.

Our program provides doctoral level study and research programs for selected individuals with proven academic ability who wish to pursue advanced studies in the conceptual, philosophical, and applied aspects of administrative processes in health services. Students are recruited locally, regionally, nationally, and internationally.

The program's curriculum is designed to provide students with the necessary tools to conduct research and contribute to the fields of health administration, health informatics, health policy, strategic management, and/or health services research. It consists of three parts: the core courses, research methods and quantitative methods courses, and specialization courses. There are three specialization tracks: Strategic Management, Health Services Research, and Health Informatics. The Strategic Management track is a "lock-step" program featuring a pre-set seven-course sequence designed to provide the student with a disciplinary foundation in strategy. The Health Services Research (HSR) track allows the student to create a more customized curriculum by selecting a seven-course sequence from a group of pre-approved courses or from courses approved by his or her "program committee" of faculty advisors. Likewise, the Health Informatics track allows students to create a more customized curriculum by selecting five HI electives that have been approved by the HI Program Director.

Students are strongly encouraged to establish individual working relationships with faculty members early in the program to foster collaborative research resulting in publications and/or presentations at regional and national meetings. Student-faculty interaction is facilitated through a high faculty to student ratio, small class sizes, assignment of research assistants to one or two specific faculty members, and student and faculty attendance at frequent seminars and informal social gatherings.

The program is designed to prepare individuals for faculty positions and other types of research positions. Upon completion of the program, the student is expected to be a competent researcher. Students completing the program should be capable of the following:

- 1. Conducting and managing empirical and conceptual research.
- 2. Demonstrating high levels of expertise in a specialization.
- 3. Meeting the requirements for a faculty position in a department of health services administration or policy.
- 4. Performing well in a high-level research or policy-making role in a non-academic organization such as a corporation, non-profit institution, or a government agency.
- 5. Effectively communicating their ideas both orally and in writing.

In addition, recognizing that many of our graduates will find employment in academic settings, we offer students opportunities to develop their teaching skills. Doctoral students are often invited to provide guest lectures for master's level courses, to serve as teaching assistants or graders for master's level courses, and to serve as primary instructors for undergraduate courses. These opportunities exist in the School of Health Professions, School of Business, and School of Public Health.

PROGRAM MISSION

Believing that outstanding teaching and research are essential to the future of health care delivery in our nation and abroad, the Ph.D. Program in Administration - Health Services provides doctoral-level training to individuals who will be our future health care leaders in academic and non-academic research organizations.

We seek to recruit a diverse and talented group of national and international students who are attracted to careers in research and teaching. The educational experience in the Ph.D. Program is characterized by exposure to the various disciplines relevant to health administration and policy from across the university. Among the most relevant areas where coursework and mentor relationships may be developed are health administration, business, public health, nursing, and medical sociology. Students are expected to work closely with selected faculty in one-on-one mentorship relationships both prior to and during the writing of the doctoral dissertation. These research experiences are expected to serve as a foundation for future research throughout their careers. Our alumni are partners with us in terms of advising and hiring new graduates, providing feedback to the program, and conducting joint research with faculty associated with our program.

We seek to be a program internationally-recognized for excellence in attracting and graduating outstanding students from the U.S. and other countries. In serving the best interest of the State of Alabama and its citizens, we will manage the Ph.D. Program in a way that uses resources efficiently without jeopardizing the quality of the experience from the students' perspective. Our graduates will be placed in academic and non-academic positions in Alabama, the nation, and the world.

DEGREE REQUIREMENTS

Program requirements include successful completion of the prerequisite courses, required Ph.D. coursework (including core courses, quantitative courses, and specialization courses), comprehensive examinations, a dissertation proposal, and a dissertation. A three paper dissertation option may be used instead of the traditional dissertation format. Specific program policies related to this option is provided in Appendix A.

ACADEMIC ADVISING: Upon entry into the program, the student uses the Director of the Program as their academic advisor. First year students should meet with the Director prior to registering for classes each term to ensure that they are meeting program requirements in a timely manner and that they are aware of any changes in the projected schedule of courses.

Students should also consult with the Director regarding preparations for comprehensive exams, selection of faculty members for Program Committees (for HSR students only), and selection of faculty members for dissertation committees. Academic advisors may change for the student after the first year.

It is the student's responsibility to make appointments to meet with his or her academic advisor at least once per term.

CHRONOLOGY OF STEPS FOR DEGREE: Summary of the steps required for the doctoral degree in Administration – Health Services:

- 1. Admission to doctoral degree program.
- 2. Maintenance of good standing throughout program.

- 3. Completion of IRB Investigator Training and the Responsible Conduct of Research (GRD 717) course during first two years of program.
- 4. Completion of first year of coursework.
- 5. HSR Students: Appointment of graduate study committee by June 1 of first academic year.
- 6. Eligible students may elect to sit for the health care comprehensive examination after 3 semesters.
- 7. Completion of second year of coursework.
- 8. Third year and beyond: must be enrolled for at least 1 credit hour per term. Students typically take "non-dissertation research" hours (AH 798), which carry no workload other than preparing for comprehensive exams and developing a dissertation proposal.
- 9. Passing of three comprehensive examinations (health care/health administration, research methods/statistics, and specialization area).
- 10. Selection of dissertation committee (5 members, no more than 3 from one department).
- 11. Development and defense of dissertation proposal. Deadlines for defense dates can be found at https://www.uab.edu/graduate/graduate-school-quicklinks/deadline-dates#Candidacy.
- 12. Admission to candidacy no later than two regular terms before expected graduation. Must take a minimum of 12 hours of "dissertation research" hours (AH 799) before graduation. Can now be referred to as a "doctoral candidate."
- 13. Application for degree no later than two weeks into the expected term of graduation.
- 14. Production of preliminary version of dissertation.
- 15. Final defense of dissertation established each term by the Graduate School. Deadlines for defense dates can be found at https://www.uab.edu/graduate/graduate-school-quicklinks/deadline-dates#Candidacy.
- 16. One copy of defended committee-approved version of thesis to Graduate School Office no later than 10 days after defense.
- 17. Conferring of degree.

CURRICULUM: Program requirements include successful completion of the prerequisite courses, required Ph.D. coursework (including core courses, quantitative courses, and specialization courses), comprehensive examinations, a dissertation proposal, and a dissertation. Students must be registered for at least one credit hour of an AH-prefix course each term.

Prerequisite Courses:

HA 602 Introduction to Health Care Systems

HA 632 Quantitative Methods

A healthcare finance course – or experience in healthcare finance

Core Courses:

HCO 704 Health Economics (3)

AH 710 Comparative Health Systems (3)

AH 705 Health Care Finance (3)

AH 788 Health Policy (3)

AH 755 Dissemination & Implementation Science in Health Care

AH 720 Doctoral Seminar (4)

Quantitative Courses:

AH 703 Philosophy of Science (3)

AH 707 Research Methods (3)

AH 722 Regression Analysis (3)

AH 727 Multivariate Statistics (3)

Elective course in quantitative, qualitative, or mixed methods research (3)

Strategic Management Track Specialization Courses:

AH 706 Strategic Management Theory and Research (3)

AH 712 Research in Organizational Behavior (3)

AH 715 Research in Organization Theory (3)

AH 716 Macro-environmental Analysis (3)

AH 775 Strategic Planning/Health Care Strategy (3)

One elective course (3)

Health Informatics Track Specialization Courses:

HI 725 Information Systems Theory and Practice (3)

HI 685 Principles of Health Informatics (3)

HI 695 Independent Study (3)

Three HI 6xx HI Specialization Elective (3)

Health Services Research Track Specialization Courses:

Six (6) course sequence selected by student with guidance from and approval of student's Program Committee

Non-Dissertation Research Hours:

No specific requirement

Dissertation Research Hours:

COMPREHENSIVE EXAMS: Students in the PhD Program in Administration – Health Services must pass three comprehensive examinations before they can be admitted to candidacy (i.e., before they will be allowed to defend their dissertation proposal). The three areas are:

- Health care and health administration
- Research methods and statistics
- Area of specialization (strategic management, health services research, or health informatics)

Students are eligible to sit for a comprehensive exam in a given area once they have completed the required courses for that area and have obtained consent from the program director. The comprehensive exam questions for a given area are written by one or more primary faculty members from that area after consulting with other primary faculty members from that area as she/he/they deem(s) appropriate. Not all faculty members from a given area will necessarily write and grade the comprehensive exam.

Each comprehensive exams consists of two parts: a written examination (consisting of several questions), followed by an oral examination. Written exams are blind-graded (i.e., the professors do not know the identity of the student when they grade each written exam) when possible. The purpose of the oral exam is to provide an opportunity for the faculty to clarify the student's answers to the written questions. Oral exams are usually given within 4 to 6 weeks after the written exam.

Approximately 4 to 6 weeks prior to a scheduled comprehensive exam, the program manager will notify students and request responses from those students who wish to take the exam. Students must reply to these requests; if no students state their intent to take the exam, preparations will not be undertaken (having faculty write questions, arranging for an appropriate testing site, etc.) and the exam will not be available until the following scheduled date. For the health care and health administration and the research methods and statistics exams, if the exam has already been written and arrangements made, additional students may request to take the exam up to one week before the scheduled date, but such requests will only be granted on a space-available basis.

Five (5) business days (Monday-Friday) is allowed for the completion of each comprehensive exam. Students are encouraged to use resources such as the published literature, the internet and course material in preparing responses. However, students are still expected to work independently on their responses and at no time during the exam should students talk with each other or anyone else about the exam. Compliance with the letter and spirit of all academic integrity policies of the program and the university is fully expected.

	Health Care and Health Admin.	Research Methods and Statistics	Strategic Management	Health Informatics	Health Services Research
Offered:	Once a year (August 16-20, 2021)	Once a year (August 16-20, 2021)	As Scheduled	As Scheduled	As Scheduled
Required Courses:	Intro. to HC Systems	Quantitative Methods	Research in OT	Information Systems Theory & Practice	Six specialization courses as approved by student's Graduate Studies Committee
	Health Economics	Regression Analysis	Research in OB	Principles of Health Informatics	
	Health Care Finance	Multivariate Analysis	Strategic Mgmt. Theory & Research	Five HI Specialization Electives as approved by HI Program Director/Curriculum Committee	
	Comparative Health Systems	Philosophy of Science	Macro-environmental Analysis		
	Health Policy	Research Methods	Strategic Planning & Mgt in HC Orgs.		
	D&I Science in Health Care				
D.:	Amy Landry PhD	Pohort Woodh	Larry Hearld PhD	Suo Foldman DhD	Graduate Studies

Primary Faculty:

Amy Landry, PhD	Robert Weech- Maldonado, PhD	Larry Hearld, PhD	Sue Feldman, PhD	Graduate Studies Committee
Nathan Carroll, PhD	Kristine Ria Hearld, PhD	Stephen O'Connor, PhD	Eta Berner, Ed.D	
Allyson Hall, PhD	Nataliya Ivankova, PhD	Nancy Borkowski, PhD	Bunyamin Ozaydin, PhD	

One overall grade of "pass" or "fail" will be provided for the entire exam based on separate grades for the written and oral exams. That grade will be determined by the committee after the student has completed both the written and oral exams in that area. Students have only two opportunities to pass each comprehensive exam. Students who fail the first administration of these exams must wait until the following year to retake the exam. Students who fail the first administration of the specialization exam must coordinate a second administration with the comprehensive exam committee for that exam. Failure on the second exam will constitute a basis for dismissal from the program.

THE DISSERTATION

The successful completion of a dissertation is the final requirement for obtaining the Ph.D. degree once Candidacy has been achieved. The student is required to work on the dissertation under the guidance and supervision of a Dissertation Committee.

The dissertation, like other individual work that is assigned in the Program, must represent the student's own effort. Jointly authored papers or otherwise collaborative work may not under any circumstance count toward satisfying the dissertation requirement. In fact, the submission of such collaborative papers or work in fulfillment of the dissertation requirement is a form of academic misconduct.

It is important, therefore, that whenever the dissertation is in any way related to a larger study or data collection effort two conditions be satisfied: the dissertation project must clearly represent a separate and distinct entity within the broader study or effort; and the project must be understood by all concerned to be the sole responsibility of the student, who is to work on it under the guidance of the Dissertation Committee. The dissertation is expected to make an original contribution to theory or methods. It is expected that every dissertation will be of sufficient quality to result in a least one published paper in a leading journal in the health field.

Dissertation Committee

A Dissertation Committee must consist of a least five members. The committee's chair may hold a primary faculty appointment in the UAB Department of Health Services Administration (HSA) or may have a primary appointment in another UAB Department. No more than three members of the committee can be from any one department.

Specified Dissertation Committee Roles

The chair is responsible for guiding and encouraging the Candidate's design and execution of an original, high quality, doctoral project. The chair is also expected to play a leading role in providing direction for the project. University faculty and staff who are not regular faculty members and qualified people from outside the University whose service on a Dissertation Committee would contribute significantly may be nominated to serve as special members on the committee. In making a nomination for a person to serve as a special member on a specific Dissertation committee, the nominee's expertise pertinent to the dissertation topic must be detailed. Inclusion of curriculum vitae is strongly encouraged. Special members of a committee need not be employed by The University of Alabama at Birmingham and need not hold academic appointments.

Dissertation Process

Students are expected to proceed on their dissertation work according to the following sequence:

- 1. During the second year of the Program each student begins working with a faculty member of the student's choosing on selecting and specifying a dissertation topic and identifying potential members for the student's Dissertation Committee.
- 2. The student submits to the Doctoral Program an abstract of the proposed dissertation topic, along with a proposed list of members for the student's Dissertation Committee.
- 3. The Dissertation Committee approves the proposal. Provided all other pertinent requirements (including IRB approval) are satisfied, the student at that point advances to Candidacy.
- 4. When the Dissertation Committee agrees that the student's dissertation is essentially completed, the final oral examination is scheduled. The final oral examination is not scheduled until each member of the committee concurs.
- 5. Once the student successfully passes the oral examination and obtains approval of any final corrections, the student should have thereby met all graduation requirements and is eligible to receive the Ph.D. degree upon completing a diploma application. Additional information on each of the above steps is provided in the sections that follow.

Selection of Topic and Committee Membership

In their second year in the Program, students are expected to work with a faculty member of their choosing in selecting a dissertation topic, refining it, and identifying potential members for the student's Dissertation Committee.

Once the topic is sufficiently well specified, the student should submit a 3-5 page abstract of the proposed dissertation topic and a proposed list of members for the committee to a faculty member willing to serve as chair. The abstract should describe the question to be addressed, its significance for health management theory and practice, and the approach that will be followed in addressing the question. Once the dissertation topic and the membership of the committee have been determined, the student will send a letter to the Program Director formally requesting that the committee be appointed by the Dean of the Graduate School. The specific content of that letter is described in the next section.

The letter to the Program Director requesting that the committee be appointed by the Dean of the Graduate School should list the membership of the proposed committee, providing for each member the academic rank, departmental affiliation, and role on the committee (i.e., chair, or member). Nominations of any special members should also be included and should provide the pertinent information to support the nomination. The letter should also briefly describe the dissertation topic. The Program Director will forward this information to the Dean of the Graduate School to formally appoint the committee. The committee is officially constituted when appointed by the Dean. Any changes in the membership of the dissertation committee must be approved by the Dean. Requests for change in committee membership should be sent by the student to the Program Director, with an explanation for the requested change. If the resulting composition of the Committee meets all relevant criteria, the Program Director will request the Dean's approval. Changes in status of any committee member, such as resignation or retirement from the University, will necessitate adjustments in the dissertation committee's composition if as a result of the change the committee's membership no longer has the requisite composition. Students are encouraged to consult with the Program Director about such changes in the committee members' status. Every effort will be made to minimize the resulting disruption while still meeting the basic criteria and requirements for dissertation committee composition.

DISSERTATION PROPOSAL

The student must prepare a dissertation proposal for formal approval by the dissertation committee. This document must be sufficiently specific and well-grounded to guide the actual conduct of the dissertation. Although it should be relatively brief, it must be substantial enough to serve as a contract between the student and the dissertation committee. Although an exhaustive literature review is expected to be included in the dissertation, the proposal need not include an exhaustive one, nor does the proposal need to be the equivalent of several chapters of the dissertation itself. In most cases the proposal can be less than 30 double-spaced pages in length.

Key elements of an acceptable proposal are:

- 1. One-page summary.
- 2. The problem, question, or hypotheses to be addressed.
- 3. Brief review of key literature relevant to the topic.
- 4. Importance of the topic and its contribution to health management.
- 5. Scope of the investigation: limitations and assumptions.
- 6. Constructs or model that underlies the investigation.
- 7. Data and methods to be used.
- 8. Proposed timetable.

Whenever subsequent exploration of the topic results in the need to deviate substantially from an approved dissertation proposal, the changes should be formally approved by the dissertation committee. After the dissertation committee is satisfied that the proposal meets all committee and program expectations and the student has received IRB approval for his/her proposed research, the Program Director will send a letter to the Graduate School Dean requesting that the student be advanced to candidacy status.

ORAL EXAMINATION

The dissertation committee chair will inform the student when the committee considers the dissertation to be complete and the committee is ready to conduct the final oral examination. The student and the committee members should then schedule the examination. Faculty, graduate students and others will be invited to attend. During the oral examination, the student may be asked to reconsider certain aspects of the work done for the dissertation and to make certain changes or corrections in the document submitted. At the end of the examination, the chair will discuss the oral examination with the other members of the committee in private and inform the student of their assessment.

If a member of the committee is not able to attend the oral examination (either in person or via distance-supporting technology), the member must read the dissertation and abstract and submit their evaluations to the dissertation committee chair at least two working days before the examination. An absent member of the committee may also communicate questions to the chair to be discussed at the oral examination. The findings of the absent member must be incorporated into deliberations of the committee.

After the oral examination, the dissertation committee chair will notify the Program Director of the outcome of the examination. If the dissertation committee asks for changes or corrections in the dissertation, the student should make these and show them to the committee chair for approval. The committee chair in turn is responsible for returning to the Program Director the oral examination report with signed certification that all requested corrections have been completed. Once all requirements have been met, the dissertation committee chair will recommend to the Dean that the student be awarded the Ph.D. degree.

THE THREE ARTICLE DISSERTATION

The University of Alabama at Birmingham allows students to assemble three related research articles as a complete body of work for the dissertation instead of using the traditional approach to conducting a dissertation. The Doctoral Program in Administration – Health Services has specific policies governing this approach which are described in Appendix A.

ENGLISH LANGUAGE PROFICIENCY: All international students who have not lived in the United States or other English-speaking country for at least two of the last five years are required to take courses designed for non-native English speakers offered by the UAB Graduate School Professional Development Program. A list of available courses can be found on the Graduate School Professional Development Courses web site.

Students are required to take at least one course per term until they demonstrate proficiency in English, as determined by the program director.

HEALTH SERVICES RESEARCH PROGRAM COMMITTEES: All students in the Health Services Research (HSR) track must select a Program Committee to guide them in selecting an appropriate array of courses to fulfill their specialization requirements. This committee should consist of three faculty members, one of whom must be from the Department of Health Services Administration. The other two faculty members of the committee may be selected from any department or school within UAB, although each faculty member selected for the committee must hold an appointment in the UAB Graduate School. Faculty members should be selected based on their ability to help the student craft a curriculum that will enable the student to develop the skills and knowledge needed for the student's area of research interest.

In addition to advising the student on selection of courses, the faculty members of the committee will be asked to write and grade questions for the student's specialization comprehensive examination upon completion of the student's coursework. However, participation on a student's Program Committee in no way obligates a faculty member to serve on the student's dissertation committee.

It is the student's responsibility to ask faculty members to serve on his or her Program Committee, although students should seek advice from their primary advisor prior to approaching potential committee members. The student's advisor will provide a copy of the "Rules and Expectations of HSR Program Committees" (see Appendix B) for the student to share with the potential Program Committee members.

Once three faculty members have been selected and agreed to serve on a committee, the student must submit the HSR Program Committee Form to the program secretary (see Appendix C). The form must contain the signatures of the student, each of the faculty members who have agreed to serve, and the student's primary academic advisor.

All HSR students must select their Program Committee and submit the HSR Program Committee Form to the program secretary by June 1 of their first academic year.

RESEARCH ETHICS

Responsible Conduct of Research: All students are required to complete GRD 717 Responsible Conduct of Research offered through the UAB Graduate School prior to submitting a dissertation proposal or participating in any type of research activities. Therefore, it is highly recommended that students complete this training during their first academic year.

The objectives of the course are as follows:

- Provide an introduction to behavioral and biomedical research ethics.
 - To discuss the history of human subject research including "The Common Rule," The Nuremberg Code and The Belmont Report, and current Federal Regulations.
 - To distinguish and apply various Federal Regulations including international principles.
 - To clearly define human subject protection and human subject research.
- Provide up-to-date institutional policies regarding human subject research.

- To define and provide information regarding UAB's Multiple Project Assurance (MPA). (Including current FWA policies).
- To provide information regarding Principal Investigator's responsibilities; information regarding the IRB's Responsibilities; and the Institution's responsibilities.

After you have completed the entire course, you will need to complete the Verification of Training Completion form found here:

http://www.uab.edu/research/administration/offices/IRB/Training/Pages/IRBCoursesProgramsApprove d.aspx. A copy of this form and a transcript showing credit for the GRD 717 course must be submitted to the Office of Institutional Review Board (OIRB). The IRB office will issue a Certificate of Training. It is your responsibility to provide a copy of your Certificate of Training to the program manager. This certificate must be in your file before you will be allowed to schedule a dissertation proposal defense.

WAIVING COURSES: There may be some courses in the curriculum that a student has completed during previous graduate coursework. For that reason, the program allows students to petition to waive a course based on previous academic work. Each waiver must be approved by the program director.

To initiate a petition to waive a course, the student should obtain a Course Waiver Form from the program manager. The completed form, along with documentation of the previous coursework, must be submitted to the program director. Appropriate documentation of previous coursework includes a course syllabus, detailed course description from a published source (e.g., a printed or on-line graduate catalog from the university where the course was taken), or textbook used. Petitions to waive a course must be submitted to the program director at least six weeks prior to the beginning of the term in the which the course to be waived is offered. No student will be allowed to waive more than three courses in the PhD curriculum.

ASSISTANTSHIP STANDARDS: Each year a limited number of research assistantships are awarded on a competitive basis. These positions provide a monthly stipend, as well as tuition and most fees, and carry an obligation of 20 work hours per week.

Research assistants are assigned by the Program Director to work with faculty members in the School of Business and/or the Department of Health Services Administration in order to facilitate the development of the students' own research skills and to provide research support to the faculty.

Assistantships are awarded to students for a period of one year, and may be renewed after the first year based on satisfactory work and academic progress in the program, contingent upon available funds. Research assistants are formally evaluated by the faculty members for whom they work once each year. Additionally, graduate assistants are expected to complete an annual self-assessment and meet with the program director to discuss these assessments at the end of the year. Faculty members are also encouraged to communicate regularly with the program directors concerning the quality of work completed by the research assistants.

Research assistants must register for, and complete, <u>at least</u> thirty-two (32) semester hours of approved graduate work each year. Students will be responsible for all tuition and fees if they withdraw from the Graduate Assistantship Program after the published withdrawal deadlines for any term. Research

assistants may not engage in other remunerated activities either on campus or off campus. Exceptions to this rule are rare and must be approved in writing by the Program Director and the Graduate Dean.

Appointments as a Graduate Assistant normally end on August 31. If recommended by the Program Director and approved by the Graduate Dean, the appointment may be renewed for a subsequent year.

ACADEMIC PROGRESS & DEGREE COMPLETION: The UAB Graduate School generally expects doctoral students to complete all degree requirements within 7 years of matriculation into the program. One extension of these time limits can be requested when mitigating circumstances preclude completion of requirements within the time limit. Moreover, limited financial resources precluded 'open ended' support for students who are receiving financial support from the Ph.D. Program and the Department of Health Services Administrations. Given these considerations, the Ph.D. Program in Administration-Health Services has developed a policy to ensure its students are making meaningful progress toward completing the degree in a reasonable timeframe. The policy (Appendix D) describes important milestones for completing the degree and remedial steps to be taken when these milestones are not met.

TYPICAL TWO-YEAR COURSE SCHEDULE: Two-year course schedules are shown in Appendix E for the Strategic Management, Health Services Research, and Health Informatics tracks.

APPENDIX A

Doctoral Program in Administration- Health Services – Three Paper Dissertation Option

Students may elect to write a three-paper dissertation, as an alternative format to the traditional monograph. The following specific rules apply to the three-paper option. These are in addition to the existing rules established by the Graduate School at the University of Alabama at Birmingham (UAB).

1. Overview

A three-paper dissertation will contain three papers in academic-journal format, with a brief introduction (about 10 pages) placing them in context. The papers must be viewed by the dissertation committee as potentially publishable, that is, ready or nearly ready for submission to a reputable peer-reviewed journal. The three papers should be thematically linked and reflect a trajectory of work with depth of inquiry in a common area without considerable overlap in the material covered in the papers. The candidate's committee (or chair) will have the final say as to whether or not the three-paper model is appropriate.

2. The proposal stage

Choice of option: A student planning to pursue a dissertation project in a three-paper format should indicate this in the letter requesting the formation of the dissertation committee. The choice to adopt the three-paper approach must be announced as part of the dissertation proposal, and the proposal must be organized accordingly.

Content of proposal: in addition to the usual requirements, a proposal for a three-paper dissertation must outline the three papers, explaining:

- What question each paper will address
- What method and data source each paper will use
- What general type of journal will be targeted for each paper (e.g. strategic management, healthcare policy, healthcare management, health services research)

In addition, any authorship issues should be explained, for example if one of the proposed papers will be jointly written with others, or result from a team project. The aim is to assure that the student has truly identified unique questions and analyses that are distinct from the larger project. This is not intended to pre-specify co-authorship. It is possible that the design of later papers is contingent on results of earlier ones, making it difficult for students to pre-specify methods or journal choices up front. In this case the committee may view it as sufficient for the student to outline a general analytic strategy for handling different outcomes of initial analyses.

University of Alabama at Birmingham faculty input: An article published before the proposal hearing will not be acceptable as part of the proposed dissertation. This rule is intended to protect the supervisor-advisor interchange as an important component of the dissertation process and the student development activity. Therefore, articles already submitted, accepted or published before the proposal defense are not acceptable. If the committee is not satisfied with the quality of the articles, the student must continue to make improvements to satisfy the committee.

3. Preparation of papers

Type of paper: Each paper must report on original scholarship. One of the papers may consist of a literature synthesis which leads to specification of a conceptual framework for addressing a research question or questions.

Content of paper: Each paper must also contain the information that would allow it to be read separately and still make sense. Each paper should have its own methods section and its own list of references. In addition, each paper should include a separate literature review focused on the specific question addressed by that paper (in contrast to the single literature review chapter often found in monograph dissertations).

Format of papers: Prior to the defense, the student must specify a target peer-reviewed journal for each and format each accordingly (e.g. write more on "policy implications" for a paper aimed at a policy journal, more on methods for a methodologically-oriented journal). This will make it easier for faculty to evaluate publish ability.

Relationship among papers: It is required that the papers be related to each other, for example in terms of addressing a common question and/or considering a common theoretical and policy context. It is recommended that the papers are also related by using a common dataset or applying a common methodology. This requirement ensures a similar depth of scholarship and attention to the nuanced formulation of meaningful new contributions to social policy research and analysis required in all dissertations. However, it must be kept in mind that the papers will have no overlap that would preclude their publication as individual works.

Length: In general, each paper would be expected to be about 25 to 30 double spaced pages, excluding tables and references.

Co-authorship: In collaborative research, persons other than the student often make contributions which would, in some disciplines or journals, qualify them for co-authorship on a paper. For purposes of the dissertation document, these persons will not be listed as co-authors, but acknowledged on the 'Statement of Contributions' (see below) and in a footnote on the relevant paper(s). This does not preclude subsequent recognition as co-authors in papers submitted after the defense, depending on the conventions of the relevant discipline or journal and the understandings among co-contributors.

4. Preparation for the defense

In preparing for the defense, the PhD candidate should prepare the following, and distribute them to both the dissertation committee:

The dissertation document. This should include the following:

- An introduction of at least 10 pages which summarizes the three papers.
- The papers themselves
- a synthetic section at the end that addresses implications for practice and research, in nontechnical terms, to the extent not already addressed in the separate papers
- Any additional material which would not usually be part of a journal article (e.g. a survey instrument) but which the dissertation committee deems relevant.

Statement of contributions. The student will prepare a statement specifying his/her role and those of others in selecting study questions, designing the analyses, identifying appropriate methods, analyzing the data and writing and reviewing the papers. This statement will be signed by each contributor named.

Dissemination plan. This form will specify a target peer-reviewed journal for each of the three papers. For each paper, the student is required to obtain a signature from a faculty member with experience publishing in or reviewing for the target journal (or other similar ones). The faculty member will sign a statement to the effect that in his/her opinion, the paper is potentially publishable in the journal selected. (The form should have all needed signatures by the conclusion of the defense).

5. Evaluation by dissertation committee

In addition to the usual criteria, for three-paper dissertations, the dissertation committee must consider the following:

- Extent of the student's contribution. The committee should be persuaded that the student
 played the primary role in the formulation and write-up of the research for all three papers. For
 example, if a faculty member provided the data, selected the methodology and directed the
 analyses, the student's role may not meet the required standard of independence.
- Eventual publish ability of the three papers. The committee should only approve the dissertation if it feels that the three papers are potentially publishable, that is, ready for submission to peer-reviewed journals. Faculty endorsement of the Dissemination Plan will be relevant for this.
- University of Alabama at Birmingham faculty input and papers published before the final
 defense are acceptable as described below. In some cases, one or more of the papers may have
 already been accepted or published by a peer-reviewed journal at the time of the defense.
 While this satisfies the publish ability criterion, the committee will still need to evaluate the
 paper(s) in light of the other criteria.

6. Admissions and Policy Committee Oversight

During the first four years after the three-paper option becomes available, the Admissions and Policy Committee will exercise some additional supervision of these dissertations to evaluate how well the option is working. This supervision will involve collecting information about experience with three-paper dissertations and reporting back periodically to faculty. The report will include discussion of any problems identified with this option, and proposed solutions. The Committee will develop the specific approach to evaluating this option.

7. Journal submission before the defense

Some students may wish to submit papers to journals before the dissertation defense (for example, if delay reduces publish ability of results). This is acceptable but will require the approval of the dissertation committee, after reviewing the paper and the statement of contributions. If the committee feels it necessary, it has the option of holding a 'mini-defense' on that/those paper(s) before approving submission to a journal.

APPENDIX B

RULES AND EXPECTATIONS OF HEALTH SERVICES RESEARCH PROGRAM COMMITTEES

All students in the Health Services Research (HSR) track must select a Program Committee to guide them in selecting an appropriate array of courses to fulfill their specialization requirements. This committee should consist of three faculty members, one of whom must be from the Department of Health Services Administration. The other two faculty members of the committee may be selected from any department or school within UAB, although each faculty member selected for the committee must hold an appointment in the UAB Graduate School. Faculty members should be selected based on their ability to help the student craft a curriculum that will enable the student to develop the skills and knowledge needed for the student's area of research interest.

In addition to advising the student on selection of courses, the faculty members of the committee may be asked to write and grade questions for the student's specialization comprehensive examination upon completion of the student's coursework. However, participation on a student's Program Committee in no way obligates a faculty member to serve on the student's dissertation committee.

It is the student's responsibility to ask faculty members to serve on his or her Program Committee, although students should seek advice from their primary advisor or the program director prior to approaching potential committee members. The student's advisor or the program director will provide a copy of this document for the student to share with the potential Program Committee members.

Once three faculty members have been selected and agreed to serve on a committee, the student must submit the HSR Program Committee form to the program manager. The form must contain the signatures of the student and each of the faculty members who have agreed to serve.

All HSR students must select their Program Committee and submit the HSR Program Committee Form to the program manager by June of their first academic year.

APPENDIX C

HEALTH SERVICES RESEARCH TRACK PROGRAM COMMITTEE SELECTION FORM

Student's Name:				
Year of Entry into Program:				
Briefly state area of research into	erest:			
I agree to serve on this student's Profulfill his/her specialization requiren Administration – Health Services. I uparticipate in the writing and gradin participation in this committee does	nent for th Inderstanc g of a com	e Health Services Resolution I that in agreeing to see a prehensive exam for the second secon	earch track of the PhD Ferve on this committee, this student. I also unde	Program in I also agree to erstand that
Faculty member's name (printed)	Departm	nent	Signature	Date
Student's signature	Date	Advisor's signature		Date

APPENDIX D

PhD Academic Progress & Degree Completion Policy

Overview

The UAB Graduate School generally expects doctoral students to complete all degree requirements within 7 years of matriculation into the program. One extension of these time limits can be requested when mitigating circumstances preclude completion of requirements within the time limit. Moreover, limited financial resources precluded 'open ended' support for students who are receiving financial support from the Ph.D. Program and the Department of Health Services Administrations.

Given these considerations, the Ph.D. Program in Administration-Health Services has developed this policy to ensure its students are making meaningful progress toward completing the degree in a reasonable timeframe. The policy set forth below describes important milestones for completing the degree, how the program will assess progress toward these milestones, and the steps taken by the program if these milestones are not met.

Program Assessment

At the end of each academic year, the Program Director will review individual student progress toward the degree by:

- Reviewing coursework completed to date
- Reviewing comprehensive exams completed to date
- Discussing with the comprehensive exam committees reasons for non-successful exams (when necessary)
- Discussing with dissertation committee members the reasons for delays in dissertation proposal defense (when necessary)
- Discussing with dissertation committee members progress to date and any reasons for delays (when necessary)

Milestones

Milestones: Full-time Workload

- Pass Health Services Comprehensive Exam within 1 years of matriculation
- Pass Research Methods Comprehensive Exam within 2 years of matriculation
- Pass Subject Matter/Track Comprehensive Exam within 3 years of matriculation
- Successfully defend dissertation proposal within 4 years of matriculation
- Successfully complete dissertation research within 5 years of matriculation

Milestones: Part-time Workload

Pass Health Services Comprehensive Exam – within 2 years of matriculation

- Pass Research Methods Comprehensive Exam within 3 years of matriculation
- Pass Subject Matter/Track Comprehensive Exam within 4 years of matriculation
- Successfully defend dissertation proposal within 5 years of matriculation
- Successfully complete dissertation research within 6 years of matriculation

Table 1. Milestones & Remedial Steps if Not Met

Pass Health Services Comprehensive Exam	Meet with Ph.D. Program Director to discuss
	reasons for not completing exam
Pass Health Services Comprehensive Exam	Meet with Ph.D. Program Director to discuss
	reasons for not completing exam
Pass Health Services Comprehensive Exam	Meet with Ph.D. Program Director to discuss
	reasons for not completing exam
Successfully defend dissertation proposal	Submit plan for completion to Program
within 5 years of matriculation	Director and Dissertation Committee Chair
Successfully complete dissertation research	Submit plan for completion to Program
within 7 years of matriculation	Director and Dissertation Committee Chair

It is the student's responsibility to monitor his/her progress toward degree and meet these milestones. Failure to meet these milestones and respond with an appropriate plan to bring him/herself into compliance in a timely manner will result in dismissal from the program.

APPENDIX E

EXAMPLE OF A TWO-YEAR COURSE SCHEDULE – STRATEGY TRACK

FIRST YEAR

FALL

HA 602 Introduction to Health Care Systems (3)*

HA 632 Quantitative Methods (3)*

AH 755 Dissemination & Implementation Science in Health Care (3)

HCO 704 Health Economic & Public Health Policy (3)

GRD 727 Writing & Reviewing Research (3)

* denotes prerequisite; may be waived based on prior coursework or qualifications

SPRING

AH 710 Comparative Health Systems (3)

AH 788 Health Policy (3)

AH 722 Regression (3)

GRD 717 Principles of Scientific Integrity (3)

AH 720 Doctoral Seminar (2)

SUMMER

AH 705 Health Care Finance (3)

AH 715 Research in Organization Theory (3)

AH 727 Multivariate Statistics (3)

SECOND YEAR

FALL

AH 706 Strategic Management Theory and Research (3)

AH 703 Philosophy of Science (3)

AH 712 Research in Organizational Behavior (3)

GRD 706 Grants and Fellowships 101 (1)

GRD 750 CIRTL Seminar in Teaching & Learning (1)

SPRING

AH 707 Research Methods (3)

AH 716 Macro-environmental Analysis (3)

AH 775 Strategic Planning/Health Care Strategy (3)

AH 720 Doctoral Seminar (2)

SUMMER

AH 798 Independent Study (3)

Research/Statistics Elective (3)

RESEARCH/STATISTICS ELECTIVE

Strategy students must take at least one course (3 credit hours) of a research or statistics elective that is approved by the Program Director. A number of courses in the Departments of Biostatistics, Sociology, Health Behavior, and Psychology, and in the School of Business satisfy this requirement.

EXAMPLE OF A TWO-YEAR COURSE SCHEDULE – HEALTH SERVICES RESEARCH TRACK

FIRST YEAR

FALL

HA 602 Introduction to Health Care Systems (3)*

HA 632 Quantitative Methods (3)*

HCO 704 Health Economic & Public Health Policy (3)

AH 755 Dissemination & Implementation Science in Health Care (3)

GRD 727 Writing & Reviewing Research (3)

* denotes prerequisite; may be waived based on prior coursework or qualifications

SPRING

AH 710 Comparative Health Systems (3)

AH 788 Health Policy (3)

AH 722 Regression (3)

GRD 717 Principles of Scientific Integrity (3)

AH 720 Doctoral Seminar (2)

SUMMER

AH 705 Health Care Finance (3)

AH 727 Multivariate Statistics (3)

Specialization elective (3)

SECOND YEAR

FALL

AH 703 Philosophy of Science (3)

GRD 706 Grants and Fellowships 101 (1)

GRD 750 CIRTL Seminar in Teaching & Learning (1)

Specialization elective (3)

Specialization elective (3)

SPRING

AH 707 Research Methods (3)

AH 720 Doctoral Seminar (2)

Specialization elective (3)

Specialization elective (3)

SUMMER

AH 798 Independent Study (3)

Specialization elective (3)

Specialization elective (3)

SPECIALIZATION ELECTIVES — HEALTH SERVICES RESEARCH TRACK

HSR students are required to take six (6) courses (18 credit hours) of HSR electives to complete their specialization. By the end of their first year, each HSR student must have named a 3-faculty member program committee to help him/her select an appropriate array of courses for his/her area of interest. Students may select from the list of courses below as well as other courses on campus, but the entire program of study must approved by the student's program committee.

HCO 703 Public Health Policy (3)

HCO 675 Improving Health Care Quality & Outcomes (3)

HCO 693 Policy and Analysis: Modeling and Simulation (3)

HCO 694 Policy and Analysis: Special Problems (3)

HCO 721 Decision Analysis for Public Health and Medicine (3)

HCO 722 Cost-Effectiveness Analysis for Public Health and Medicine (3)

EPI 610 Principles of Epidemiologic Research (3)

EPI 625 Quantitative Methods in Epidemiology (3)

EPI 709 Theoretical Basis of Epidemiology (3)

SOC 711 Qualitative Research (3)

RESEARCH/STATISTICS ELECTIVE

HSR students must take at least one course (3 credit hours) of a research or statistics elective that is approved by the Program Director. A number of courses in the Departments of Biostatistics, Sociology, Health Behavior, Psychology, and in the School of Business satisfy this requirement.

EXAMPLE OF A TWO-YEAR COURSE SCHEDULE – HEALTH INFORMATICS TRACK

FIRST YEAR

FALL

HA 602 Introduction to Health Care Systems (3)* (HI Elective if waived)

HA 632 Quantitative Methods (3)* (HI Elective if waived)

HCO 704 Health Economic & Public Health Policy (3)

AH 755 Dissemination & Implementation Science in Health Care (3)

GRD 727 Writing & Reviewing Research (3)

* denotes prerequisite; may be waived based on prior coursework or qualifications

SPRING

AH 710 Comparative Health Systems (3)

AH 788 Health Policy (3)

AH 722 Regression (3)

GRD 717 Principles of Scientific Integrity (3)

AH 720 Doctoral Seminar (2)

SUMMER

AH 705 Health Care Finance (3)

AH 727 Multivariate Statistics (3)

HI 725 Information Systems Theory and Practice (3)

SECOND YEAR

FALL

AH 703 Philosophy of Science (3)

AH 777 Mixed Methods Research I (3)

HI 6xx HI Specialization Elective (3)

GRD 750 CIRTL Seminar in Teaching & Learning (1)

SPRING

AH 707 Research Methods (3)

AH 720 Doctoral Seminar (2)

GRD 709 Grant writing (3)

HI 685 Principles of Health Informatics (3)

HI 695 Independent Study (1)

SUMMER

HI 695 Independent Study (3)

HI 6xx HI Specialization Elective (3)

HI 6xx HI Specialization Elective (3)

SPECIALIZATION ELECTIVES — HEALTH INFORMATICS TRACK

Health Informatics (HI) track students are required to take 5 courses (15 credit hours) of electives to complete their specialization. By the end of their first year, each HI student must meet with the HI Program Director (Sue Feldman, PhD) to help him/her select an appropriate array of courses for his/her area of interest. Students may select from the list of courses below as well as other courses on campus, but the entire program of study must approved by the student's program committee.

HCO 703 Public Health Policy (3)

HCO 675 Improving Health Care Quality & Outcomes (3)

HCO 693 Policy and Analysis: Modeling and Simulation (3)

HCO 694 Policy and Analysis: Special Problems (3)

HCO 721 Decision Analysis for Public Health and Medicine (3)

HCO 722 Cost-Effectiveness Analysis for Public Health and Medicine (3)

EPI 610 Principles of Epidemiologic Research (3)

EPI 625 Quantitative Methods in Epidemiology (3)

EPI 709 Theoretical Basis of Epidemiology (3)

SOC 711 Qualitative Research (3)